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## TRANSMITTAL FORM

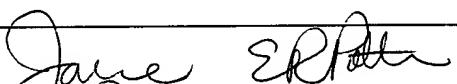
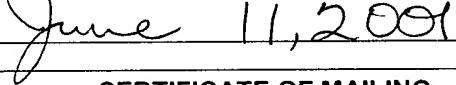
(To be used for all correspondence after initial filing)

Application Number	09/757,417
Filing Date	January 8, 2001
First Named Inventor	Gary R. Fanger
Group Art Unit	
Examiner Name	
Attorney Docket No.	210121.479C1

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): <hr/> <hr/> <hr/> <hr/> <hr/>
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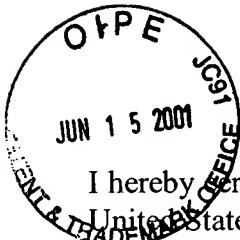
### Remarks

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Individual Name	Jane E. R. Potter	 00500 PATENT TRADEMARK OFFICE
Signature		
Date		

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

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PATENT

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June 12, 2001  
Date

Monica Steinborn  
Monica Steinborn

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Gary R. Fanger et al.  
Application No. : 09/757,417  
Filed : January 8, 2001  
For : COMPOSITIONS AND METHODS FOR THE THERAPY,  
DIAGNOSIS AND MONITORING OF BREAST CANCER  
Docket No. : 210121.479C1

Commissioner for Patents  
Washington, DC 20231

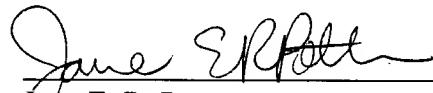
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

  
Jane E. R. Potter  
Registration No. 33,332

JEP:mls

Enclosures:

Postcard  
Form PTO-1449  
Form PTO/SB/21  
Cited References (22)

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

FORM PTO-144 (REV.7-80)		JUN 15 2001		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.479C1	APPLICATION NO. 09/757,417
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANTS		Gary R. Fanger et al.	
				FILING DATE		GROUP ART UNIT	
				January 8, 2001			

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,004,756	12/21/99	Watson et al.	435	6	
	AB	5,968,754	10/19/99	Watson et al.	435	7.23	
	AC	5,922,836	07/13/99	Watson et al.	530	300	
	AD	5,882,864	03/16/99	An et al.	435	6	
	AE	5,855,889	01/05/99	Watson et al.	424	185.1	
	AF	5,786,148	07/28/98	Bandman et al.	435	6	
	AG	5,668,267	09/16/97	Watson et al.	536	23.5	
	AH	5,633,161	05/27/97	Shyjan	435	325	

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AI	WO 99/19847	04/22/99	WIPO		
	AJ	WO 99/14230	03/25/00	WIPO		
	AK	WO 98/07753	02/26/98	WIPO		
	AL	WO 97/25426	07/17/97	WIPO		
	AM	WO 96/38463	12/05/96	WIPO		

### OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AN		Becker et al., "Identification of mammoglobin B, a novel member of the uteroglobin gene family," <i>Genomics</i> 54(1):70-78, November 15, 1998.
AO		Chilton and Hewetson, "Zinc finger proteins RUSH in where others fear to tread," <i>Biology of Reproduction</i> 58(2):285-294, February 1998.
AP		Lehrer et al., "Lipophilin, a novel heterodimeric protein of human tears," <i>FEBS Letters</i> 432(3):163-167, August 7, 1998.

EXAMINER	DATE CONSIDERED
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\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



FORM PTO-1449 (REV.7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.479C1	APPLICATION NO. 09/757,417
<b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>		APPLICANTS Gary R. Fanger et al.			
		FILING DATE January 8, 2001	GROUP ART UNIT		

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
	BB				YES NO

 OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

BC		Leygue et al., "Mammoglobin, a potential marker of breast cancer nodal metastasis," <i>Journal of Pathology</i> 189:28-33, 1999.
BD		Min et al., "Identification of superior markers for polymerase chain reaction detection of breast cancer metastases in sentinel lymph nodes," <i>Cancer Research</i> 58(20):4581-4584, October 15, 1998.
BE		Porter-Jordan and Lippman, "Overview of the biologic markers of breast cancer," <i>Breast Cancer</i> 8(1):73-100, February 1994.
BF		Watson et al., "Structure and transcriptional regulation of the human mammoglobin gene, a breast cancer associated member of the uteroglobin gene family localized to chromosome 11q13," <i>Oncogene</i> 16:817-824, 1998.
BG		Watson and Fleming, "Mammoglobin, a mammary-specific member of the uteroglobin gene family, is overexpressed in human breast cancer," <i>Cancer Research</i> 56:860-865, February 15, 1996.
BH		Zhao et al., "Lipophilins: human peptides homologous to rat prostatein," <i>Biochemical and Biophysical Research Communications</i> 256(1):147-155, March 5, 1999.
BI		
BJ		

EXAMINER	DATE CONSIDERED
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